

Participant/FEIN Holder Information

Name _____ Gender Male Female
First Middle Last

Street Address _____ (Physical address where services will be provided, No PO Box)

City _____ State _____ Zip _____ County _____

Phone (____) _____ (____) _____ (____) _____ Email _____
Primary Secondary Fax

Date of Birth _____ Social Security # _____ - _____ - _____ Driver's License _____
Number State

Medicaid # _____ **Note:** A driver's license number is required to complete the Florida Business Tax Application, form DR-1.

Legal Guardian Information (if applicable)

Name _____ Relationship to Participant _____
First MI Last

Street Address _____

City _____ State _____ Zip _____

Phone (____) _____ (____) _____ (____) _____ Email _____
Primary Secondary Fax

Yes No - Will legal guardian sign the enclosed Federal and State tax forms on the participant's (FEIN holder's) behalf?
 If yes, attach court-appointed guardianship paperwork, and enter social security and driver's license information below.

Social Security # _____ - _____ - _____ Driver's License _____
Number State

Representative Information (if applicable)

Name _____ Relationship to Participant _____

Street Address _____

City _____ State _____ Zip _____

Phone (____) _____ (____) _____ (____) _____ Email _____
Primary Secondary Fax

Date of Birth _____ Social Security # _____ - _____ - _____ BG Check Clearance Date _____

Approving Entity Information

Managed Care Plan _____

External Case Mgr/Care Coordinator Name _____ Phone (____) _____

Address _____ Cell (____) _____

City _____ State _____ Zip _____ Email _____

Prior Relationships/Business Accounts

1. Yes No - Participant is **Transferring** from another Fiscal Provider? If yes, Provider name: _____

2. Yes No - Are **Prior Business Accounts** established? If yes, enter account information below:
 → _____ - _____
FEIN Reemployment Tax Account # SUTA Rate

→ Yes No - If previous FEIN, does FEIN holder have employees other than caregivers?

3. Budget/Auth Start Date _____





FISCAL EMPLOYER AGENT
**PARTICIPANT ORIENTATION AND
 ENROLLMENT CHECKLIST**

Participant (FEIN holder) Name	Representative Name (if applicable)

Review of Participant Guidelines

Participant Enrollment Packet (submit to Consumer Direct)

Check as completed.

- Participant Data Form
- Participant Agreement and Acknowledgement Form
- Participant/Employer and Tax Forms
 - 1. SS-4 Application for Employer Identification Number (EIN)
 - 2. 2678 Employer/Payer Appointment of Agent
 - 3. Guardianship papers (submit photocopy, if applicable)
 - 4. DR-1 Florida Business Tax Application
 - 5. DR-835 Power of Attorney
- PDO Consent Form
- Emergency and Backup Plan

Representative Forms (if applicable, submit to Consumer Direct if Participant assigns a Representative to direct services)

- PDO Representative Agreement
- Information Needed for Fingerprinting
- Attestation of Compliance with Background Screening Requirements
- Care Provider Background Screening - Privacy Policy Acknowledgement
 (Privacy policy statements attached)

Supplemental Forms (Discuss each and keep for future use)

- Payroll Calendar
- Online Time Sheet Instructions
- Paper Time Sheets and Time Sheet Instructions
- Complaint Procedure
- Feedback Form
- Fingerprint Registration Procedure
- List of Barring Offenses
- RT-83 Notice to Employees regarding Florida Reemployment Assistance Program

Direct Service Worker Enrollment Packet (discuss)

Completed on date: ____/____/____

Program

Coordinator: _____
 Name

Signature

Participant: _____
 Name

Signature



Print Participant's Name

Print Legal Guardian's Name (if applicable)**INSTRUCTIONS:**

1. Review each topic and ask questions, if necessary. Please initial by each to show your (Participant or Legal Guardian) agreement and understanding.
2. In this agreement, "I, my, me" refers to the Participant and/or the Legal Guardian (LG), as appropriate.

I authorize Consumer Direct to Initial for me ONLY because it is difficult for me to write

RECEIPT OF EMPLOYMENT HANDBOOK: The Handbook describes policies, procedures, and requirements for participants and direct service workers (DSW) in the Participant Direction Option (PDO). I will read the Handbook. If I have questions, I will contact Consumer Direct. I will review the Handbook with my DSW(s) and give them a copy. I am responsible for making sure my DSW(s) follow program requirements, policies, and procedures contained in the Handbook.

OTHER TRAINING MATERIALS: I have received and will read the following training materials:

- PDO Participant Guidelines
- Abuse, Neglect and Exploitation (Handbook Supplement)
- Medicaid Fraud (Handbook Supplement)
- Employer-related training; completing federal and state tax documents; developing a PDO Emergency Backup Plan; interviewing, training, and evaluating DSW(s); and completing and submitting time sheets.

HIRING DIRECT SERVICE WORKER(S): I am responsible for recruiting, interviewing and hiring DSW(s). The DSW can be a family member, friend, or someone from the community. I must be comfortable with the person.

- DSW(s) cannot be a participant's representative.
- Before a DSW can begin to work and be paid in this program, I must receive a completed "Okay to Work Form" from Consumer Direct for each DSW.

PARTICIPANT TRAINING PLAN: I am responsible for training and supervising my DSW(s). I can get information about how to do this in the Employment Handbook. I also can get information from Consumer Direct staff members who meet with me. I know that Consumer Direct will answer questions, clarify issues, and assist me in learning to supervise and manage my DSW(s).

- a. I will train and schedule DSW(s) to meet my service needs. The DSW will be scheduled as approved on my Care Plan.
- b. I will give my DSW feedback if he or she is not doing a task as I trained him or her or is not doing a good job. I also will give the DSW positive feedback when he or she is doing tasks well.
- c. I will dismiss a DSW if he or she continues to do a poor job after receiving feedback and training or if he or she has not followed the guidelines of the program.
- d. I have received the following DSW training information. I know that I am responsible for training my DSW(s) when applicable.



- Infection Control
 - Abuse and Neglect
 - Medicaid Fraud
 - Lifting and Moving Patients
 - HIPAA and Confidentiality
- e. I know that other training materials on other topics, such as: bathing, dressing, home and fire safety, safe transfers and nutrition, are available from Consumer Direct upon request.
- f. I know that Consumer Direct will help me make sure my DSW(s) are approved to work for me.

_____ I will make sure that the **services** I schedule the DSW to perform and the **time the DSW works** agree with the Care Plan. I know that approving a time sheet when a DSW has not worked, or approving a time sheet that does not agree with the Care Plan, is Medicaid fraud.

- I can begin services with Consumer Direct after DSW enrollment materials are forwarded to Consumer Direct and I receive an “Okay to work” form for each DSW.
- I will design an Emergency and Backup Plan to use when my regularly scheduled DSW(s) and services are not available.
- I know that I am financially responsible for payment of a DSW if:
 - I do not qualify or lose my Medicaid.
 - I allow my DSW(s) to work overtime.
 - I allow my DSW(s) to work more time than is approved on my Care Plan.
 - I allow my DSW(s) to do tasks that are not approved on my Care Plan.

_____ **REPORTING:** I will report:

- a. Any possible Medicaid fraud to Consumer Direct immediately and to the MCO.
- b. Abuse, neglect and exploitation (described in the Employment Handbook and Participant Guidelines) to Adult Protective Services and Consumer Direct.
- c. Any change in health status or living situation to Consumer Direct (examples: hospitalization, improvement or decline in health status, or change of address) within five days.
- d. A change in my or my representative’s name or address within five days.

_____ **DECISION TO SERVE:** Consumer Direct can choose to not serve me. This will happen if I do not follow policy and procedures or if my health and safety needs cannot be met with the self-directed program. Consumer Direct will discuss their concerns with me and my case manager. My case manager will assist me with transitioning services out of PDO within thirty (30) days.

_____ **CONSENT FORM:** The Consent Form (State Form) outlines rights and responsibilities of Consumer Direct and the Participant. I understand that Consumer Direct is performing some of the responsibilities of the managed care organization for the Participant Directed Option. These rights and responsibilities also apply as part of this Agreement.





PARTICIPANT AGREEMENT AND ACKNOWLEDGEMENT FORM

AGREEMENT TERMS AND CONDITIONS

Non-Emergent Service: I know Consumer Direct does not provide any emergency medical services. I know that I must call emergency services (such as 911) during a medical emergency.

Indemnification and Hold Harmless: I acknowledge that I will be in a better position to monitor, supervise and watch over my employee in the performance of his or her duties. I agree to indemnify, which means to repay, defend and hold harmless Consumer Direct from any claims, causes of actions, complaints, lawsuits claiming any damages or liability against Consumer Direct, as the result of any actions, inactions, or any conduct by my DSW, while employed by me. This indemnification agreement includes any claims for damage to my property or my person, or the property or persons of any third party. I understand that this means that I will be required to pay for any damages caused by my DSW, while employed by me, that are made against Consumer Direct, including the costs that Consumer Direct develops in defending itself against such claims.

Partial Invalidity: If any part of this Agreement does not apply to you or changes with time, the other parts of the Agreement still apply and are valid. If one part of this Agreement is broken, the rest of the Agreement remains in place.

Arbitration: I agree that any dispute I or Consumer Direct have under this Agreement will be resolved by means of binding arbitration, instead of in Court. In the event of a disagreement, either I or Consumer Direct may request binding arbitration under the rules and auspices (support) of the American Arbitration Association. The cost of the arbitration will be split evenly between Consumer Direct and me.

State Law: If we cannot solve a problem through negotiation or talking about the problem, then Florida State law will apply. Any legal action related to this Agreement must be held in Florida.

Duration and Modification of Agreement: This Agreement will go into effect on the date it is signed by both the Participant and Consumer Direct. The Agreement can be changed. Any changes must be in writing, signed and dated by both the Participant and Consumer Direct. The Agreement may be stopped right away by either Participant or Consumer Direct by saying so in writing if it is okay to do so under program rules and related law.

Timely Notification: The Participant and Consumer Direct agree that all contact should occur in a timely way. Any notice will be given immediately, so that the Participant or Consumer Direct is not harmed by a delay.

Entire Agreement: This Agreement and other written materials together describe the complete understanding between a Participant/Legal Guardian and Consumer Direct. Any verbal agreements do not apply. All agreements must be put in writing by the Participant/LG or Consumer Direct.

I am the direct (managing) employer of the DSW(s). I know I am responsible for recruiting, hiring, training and supervising DSW(s). I accept all responsibility for any personal injury, medical or related liability for services provided under this program. My signature indicates my agreement.

Participant or LG Signature

Date

Consumer Direct Rep. Signature

Date



Application for Employer Identification Number

(For use by employers, corporations, partnerships, trusts, estates, churches, government agencies, Indian tribal entities, certain individuals, and others.)

See separate instructions for each line. Keep a copy for your records.
Go to www.irs.gov/FormSS4 for instructions and the latest information.

EIN

Type or print clearly.	1 Legal name of entity (or individual) for whom the EIN is being requested				
	2 Trade name of business (if different from name on line 1)	3 Executor, administrator, trustee, "care of" name			
	4a Mailing address (room, apt., suite no. and street, or P.O. box)	5a Street address (if different) (Don't enter a P.O. box.)			
	4b City, state, and ZIP code (if foreign, see instructions)	5b City, state, and ZIP code (if foreign, see instructions)			
	6 County and state where principal business is located				
	7a Name of responsible party	7b SSN, ITIN, or EIN			
8a Is this application for a limited liability company (LLC) (or a foreign equivalent)? <input type="checkbox"/> Yes <input type="checkbox"/> No	8b If 8a is "Yes," enter the number of LLC members				
8c If 8a is "Yes," was the LLC organized in the United States? <input type="checkbox"/> Yes <input type="checkbox"/> No					
9a Type of entity (check only one box). Caution: If 8a is "Yes," see the instructions for the correct box to check.					
<input type="checkbox"/> Sole proprietor (SSN) _____ <input type="checkbox"/> Estate (SSN of decedent) _____ <input type="checkbox"/> Partnership _____ <input type="checkbox"/> Plan administrator (TIN) _____ <input type="checkbox"/> Corporation (enter form number to be filed) _____ <input type="checkbox"/> Trust (TIN of grantor) _____ <input type="checkbox"/> Personal service corporation _____ <input type="checkbox"/> Military/National Guard <input type="checkbox"/> State/local government <input type="checkbox"/> Church or church-controlled organization _____ <input type="checkbox"/> Farmers' cooperative <input type="checkbox"/> Federal government <input type="checkbox"/> Other nonprofit organization (specify) _____ <input type="checkbox"/> REMIC <input type="checkbox"/> Indian tribal governments/enterprises <input type="checkbox"/> Other (specify) _____ Group Exemption Number (GEN) if any					
9b If a corporation, name the state or foreign country (if applicable) where incorporated	State	Foreign country			
10 Reason for applying (check only one box)					
<input type="checkbox"/> Started new business (specify type) _____ <input type="checkbox"/> Banking purpose (specify purpose) _____ <input type="checkbox"/> Hired employees (Check the box and see line 13.) <input type="checkbox"/> Changed type of organization (specify new type) _____ <input type="checkbox"/> Compliance with IRS withholding regulations <input type="checkbox"/> Purchased going business _____ <input type="checkbox"/> Other (specify) _____ <input type="checkbox"/> Created a trust (specify type) _____ <input type="checkbox"/> _____ <input type="checkbox"/> Created a pension plan (specify type) _____					
11 Date business started or acquired (month, day, year). See instructions.	12 Closing month of accounting year				
13 Highest number of employees expected in the next 12 months (enter -0- if none). If no employees expected, skip line 14.	14 If you expect your employment tax liability to be \$1,000 or less in a full calendar year and want to file Form 944 annually instead of Forms 941 quarterly, check here. (Your employment tax liability will generally be \$1,000 or less if you expect to pay \$5,000 or less, \$6,536 or less if you're in a U.S. territory, in total wages.) If you don't check this box, you must file Form 941 for every quarter. <input type="checkbox"/>				
<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:33%; text-align:center;">Agricultural</td> <td style="width:33%; text-align:center;">Household</td> <td style="width:33%; text-align:center;">Other</td> </tr> </table>			Agricultural	Household	Other
Agricultural	Household	Other			
15 First date wages or annuities were paid (month, day, year). Note: If applicant is a withholding agent, enter date income will first be paid to nonresident alien (month, day, year)					
16 Check one box that best describes the principal activity of your business.					
<input type="checkbox"/> Construction <input type="checkbox"/> Rental & leasing <input type="checkbox"/> Transportation & warehousing <input type="checkbox"/> Health care & social assistance <input type="checkbox"/> Wholesale—agent/broker <input type="checkbox"/> Real estate <input type="checkbox"/> Manufacturing <input type="checkbox"/> Finance & insurance <input type="checkbox"/> Accommodation & food service <input type="checkbox"/> Wholesale—other <input type="checkbox"/> Retail <input type="checkbox"/> _____ <input type="checkbox"/> Other (specify) _____					
17 Indicate principal line of merchandise sold, specific construction work done, products produced, or services provided.					
18 Has the applicant entity shown on line 1 ever applied for and received an EIN? <input type="checkbox"/> Yes <input type="checkbox"/> No					
If "Yes," write previous EIN here					

Third Party Designee	Complete this section only if you want to authorize the named individual to receive the entity's EIN and answer questions about the completion of this form.	
	Designee's name	Designee's telephone number (include area code)
	Address and ZIP code	Designee's fax number (include area code)
Under penalties of perjury, I declare that I have examined this application, and to the best of my knowledge and belief, it is true, correct, and complete.		Applicant's telephone number (include area code)
Name and title (type or print clearly)		Applicant's fax number (include area code)
Signature	Date	



Form **2678** **Employer/Payer Appointment of Agent**

(Rev. December 2023) Department of the Treasury — Internal Revenue Service

OMB No. 1545-0748

Use this form if you want to request approval to have an agent file returns and make deposits or payments of employment or other withholding taxes or if you want to revoke an existing appointment.

- If you're an employer or payer who wants to request approval, complete Parts 1 and 2 and sign Part 2. Then give it to the agent. Have the agent complete Part 3 and sign it.

Note: This appointment isn't effective until we approve your request. See the instructions for more information.

- If you're an employer, payer, or agent who wants to revoke an existing appointment, complete all three parts. In this case, only one signature is required.

For IRS use:

Part 1: Why you're filing this form.

(Check one)

- You want to **appoint** an agent for tax reporting, depositing, and paying.
- You want to **revoke** an existing appointment.

Part 2: Employer or Payer Information: Complete this part if you want to appoint an agent or revoke an appointment.

1 Employer identification number (EIN)

□	□	-	□	□	□	□	□	□	□
---	---	---	---	---	---	---	---	---	---

2 Employer's or payer's name
(not your trade name)

3 Trade name (if any)

4 Address

Number	Street	Suite or room number
City	State	ZIP code
Foreign country name	Foreign province/county	Foreign postal code

5 Forms for which you want to appoint an agent or revoke the agent's appointment to file. (Check all that apply.)

	For ALL employees/ payees/payments	For SOME employees/ payees/payments
Form 940, Employer's Annual Federal Unemployment (FUTA) Tax Return* (all 940 series)	<input type="checkbox"/>	<input type="checkbox"/>
Form 941, Employer's QUARTERLY Federal Tax Return (all 941 series)	<input type="checkbox"/>	<input type="checkbox"/>
Form 943, Employer's Annual Federal Tax Return for Agricultural Employees (all 943 series)	<input type="checkbox"/>	<input type="checkbox"/>
Form 944, Employer's ANNUAL Federal Tax Return (all 944 series)	<input type="checkbox"/>	<input type="checkbox"/>
Form 945, Annual Return of Withheld Federal Income Tax	<input type="checkbox"/>	<input type="checkbox"/>
Form CT-1, Employer's Annual Railroad Retirement Tax Return	<input type="checkbox"/>	<input type="checkbox"/>
Form CT-2, Employee Representative's Quarterly Railroad Tax Return	<input type="checkbox"/>	<input type="checkbox"/>

* Generally, you can't appoint an agent to report, deposit, and pay tax reported on Form 940, unless you're a home care service recipient.

- Check here if you're a home care service recipient, and you want to appoint the agent to report, deposit, and pay FUTA tax for you. See the instructions.

I am authorizing the IRS to disclose otherwise confidential tax information to the agent relating to the authority granted under this appointment, including disclosures required to process Form 2678. The agent may contract with a third party, such as a reporting agent or certified public accountant, to prepare or file the returns covered by this appointment, or to make any required deposits and payments. Such contract may authorize the IRS to disclose confidential tax information of the employer/payer and agent to such third party. If a third party fails to file the returns or make the deposits and payments, the agent and employer/payer remain liable.

Sign your name here

Print your name here

Print your title here

Date

 / /

Best daytime phone

Now give this form to the agent to complete.



Power of Attorney and Declaration of Representative

▶ Go to www.irs.gov/Form2848 for instructions and the latest information.

For IRS Use Only

Received by: _____
 Name _____
 Telephone _____
 Function _____
 Date / /

Part I Power of Attorney

Caution: A separate Form 2848 must be completed for each taxpayer. Form 2848 will not be honored for any purpose other than representation before the IRS.

1 Taxpayer information. Taxpayer must sign and date this form on page 2, line 7.

Taxpayer name and address	Taxpayer identification number(s)	
	Daytime telephone number	Plan number (if applicable)

hereby appoints the following representative(s) as attorney(s)-in-fact:

2 Representative(s) must sign and date this form on page 2, Part II.

Name and address	CAF No. _____ PTIN _____ Telephone No. _____ Fax No. _____ Check if new: Address <input type="checkbox"/> Telephone No. <input type="checkbox"/> Fax No. <input type="checkbox"/>
Check if to be sent copies of notices and communications <input type="checkbox"/> Name and address	CAF No. _____ PTIN _____ Telephone No. _____ Fax No. _____ Check if new: Address <input type="checkbox"/> Telephone No. <input type="checkbox"/> Fax No. <input type="checkbox"/>
Name and address (Note: IRS sends notices and communications to only two representatives.)	CAF No. _____ PTIN _____ Telephone No. _____ Fax No. _____ Check if new: Address <input type="checkbox"/> Telephone No. <input type="checkbox"/> Fax No. <input type="checkbox"/>
Name and address (Note: IRS sends notices and communications to only two representatives.)	CAF No. _____ PTIN _____ Telephone No. _____ Fax No. _____ Check if new: Address <input type="checkbox"/> Telephone No. <input type="checkbox"/> Fax No. <input type="checkbox"/>

to represent the taxpayer before the Internal Revenue Service and perform the following acts:

3 Acts authorized (you are required to complete line 3). Except for the acts described in line 5b, I authorize my representative(s) to receive and inspect my confidential tax information and to perform acts I can perform with respect to the tax matters described below. For example, my representative(s) shall have the authority to sign any agreements, consents, or similar documents (see instructions for line 5a for authorizing a representative to sign a return).

Description of Matter (Income, Employment, Payroll, Excise, Estate, Gift, Whistleblower, Practitioner Discipline, PLR, FOIA, Civil Penalty, Sec. 4980H Shared Responsibility Payment, etc.) (see instructions)	Tax Form Number (1040, 941, 720, etc.) (if applicable)	Year(s) or Period(s) (if applicable) (see instructions)

4 Specific use not recorded on the Centralized Authorization File (CAF). If the power of attorney is for a specific use not recorded on CAF, check this box. See Line 4. Specific Use Not Recorded on CAF in the instructions

5a Additional acts authorized. In addition to the acts listed on line 3 above, I authorize my representative(s) to perform the following acts (see instructions for line 5a for more information):

Access my IRS records via an Intermediate Service Provider;
 Authorize disclosure to third parties; Substitute or add representative(s); Sign a return; _____

Other acts authorized: _____



b Specific acts not authorized. My representative(s) is (are) not authorized to endorse or otherwise negotiate any check (including directing or accepting payment by any means, electronic or otherwise, into an account owned or controlled by the representative(s) or any firm or other entity with whom the representative(s) is (are) associated) issued by the government in respect of a federal tax liability.
 List any other specific deletions to the acts otherwise authorized in this power of attorney (see instructions for line 5b): _____

6 Retention/revocation of prior power(s) of attorney. The filing of this power of attorney automatically revokes all earlier power(s) of attorney on file with the Internal Revenue Service for the same matters and years or periods covered by this form. If you **do not** want to revoke a prior power of attorney, check here

YOU MUST ATTACH A COPY OF ANY POWER OF ATTORNEY YOU WANT TO REMAIN IN EFFECT.

7 Taxpayer declaration and signature. If a tax matter concerns a year in which a joint return was filed, each spouse must file a separate power of attorney even if they are appointing the same representative(s). If signed by a corporate officer, partner, guardian, tax matters partner, partnership representative (or designated individual, if applicable), executor, receiver, administrator, trustee, or individual other than the taxpayer, I certify I have the legal authority to execute this form on behalf of the taxpayer.

▶ IF NOT COMPLETED, SIGNED, AND DATED, THE IRS WILL RETURN THIS POWER OF ATTORNEY TO THE TAXPAYER.

Signature	Date	Title (if applicable)
Print name	Print name of taxpayer from line 1 if other than individual	

Part II Declaration of Representative

Under penalties of perjury, by my signature below I declare that:

- I am not currently suspended or disbarred from practice, or ineligible for practice, before the Internal Revenue Service;
- I am subject to regulations in Circular 230 (31 CFR, Subtitle A, Part 10), as amended, governing practice before the Internal Revenue Service;
- I am authorized to represent the taxpayer identified in Part I for the matter(s) specified there; and
- I am one of the following:
 - a** Attorney—a member in good standing of the bar of the highest court of the jurisdiction shown below.
 - b** Certified Public Accountant—a holder of an active license to practice as a certified public accountant in the jurisdiction shown below.
 - c** Enrolled Agent—enrolled as an agent by the IRS per the requirements of Circular 230.
 - d** Officer—a bona fide officer of the taxpayer organization.
 - e** Full-Time Employee—a full-time employee of the taxpayer.
 - f** Family Member—a member of the taxpayer’s immediate family (spouse, parent, child, grandparent, grandchild, step-parent, step-child, brother, or sister).
 - g** Enrolled Actuary—enrolled as an actuary by the Joint Board for the Enrollment of Actuaries under 29 U.S.C. 1242 (the authority to practice before the IRS is limited by section 10.3(d) of Circular 230).
 - h** Unenrolled Return Preparer—Authority to practice before the IRS is limited. An unenrolled return preparer may represent, provided the preparer (1) prepared and signed the return or claim for refund (or prepared if there is no signature space on the form); (2) was eligible to sign the return or claim for refund; (3) has a valid PTIN; and (4) possesses the required Annual Filing Season Program Record of Completion(s). **See Special Rules and Requirements for Unenrolled Return Preparers in the instructions for additional information.**
 - k** Qualifying Student or Law Graduate—receives permission to represent taxpayers before the IRS by virtue of his/her status as a law, business, or accounting student, or law graduate working in a LITC or STCP. See instructions for Part II for additional information and requirements.
 - r** Enrolled Retirement Plan Agent—enrolled as a retirement plan agent under the requirements of Circular 230 (the authority to practice before the Internal Revenue Service is limited by section 10.3(e)).

▶ IF THIS DECLARATION OF REPRESENTATIVE IS NOT COMPLETED, SIGNED, AND DATED, THE IRS WILL RETURN THE POWER OF ATTORNEY. REPRESENTATIVES MUST SIGN IN THE ORDER LISTED IN PART I, LINE 2.

Note: For designations d–f, enter your title, position, or relationship to the taxpayer in the “Licensing jurisdiction” column.

Designation— Insert above letter (a–r).	Licensing jurisdiction (State) or other licensing authority (if applicable)	Bar, license, certification, registration, or enrollment number (if applicable)	Signature	Date





Florida Business Tax Application

Register online at
floridarevenue.com/taxes/registration.
It's fast and secure.



ALL information provided as a part of this application is held confidential by the Florida Department of Revenue. Social security numbers are used by the Florida Department of Revenue as unique identifiers for the administration of Florida's taxes. Social security numbers obtained for tax administration purposes are confidential under sections 213.053 and 119.071, Florida Statutes, and not subject to disclosure as public records. Collection of your social security number is authorized under state and federal law. Visit the Department's website at floridarevenue.com/privacy for more information regarding the state and federal law governing the collection, use, or release of social security numbers, including authorized exceptions.

Use Black or Blue Ink to Complete This Application

Business Information

All Applicants -
Identification Numbers

1. Identification Numbers:

Federal Employer Identification Number (FEIN):

You must provide your FEIN before you can register for Reemployment Tax. If you are not required by the Internal Revenue Service to obtain an FEIN, you must provide your social security number, unless you are not a citizen of the United States.

Social Security Number (SSN):

If you are not a citizen of the United States and you do not have a social security number, provide your complete Visa number.

Visa Number:

Florida Business Partner Number (if registered):
(business partner numbers are 4 to 7 digits in length)

Consolidated Sales and Use Tax Filing Number:
(if you file a consolidated sales and use tax return)

County Control Number:
(if you use this number to report tax for the county where your business is located)

2. Reason for Applying (select only one):

Business entity not currently registered

Date of first Florida taxable activity:
mm dd yyyy

Additional Florida location for currently registered business

Date of first taxable activity
mm dd yyyy

Sales and use tax for this location will be reported using my current:
(select all that apply)

consolidated return county control reporting number

Additional Florida rental property for currently registered business

Date of first taxable activity:
mm dd yyyy

Sales and use tax for this location will be reported using my current:
(select all that apply)

consolidated return county control reporting number

Moved registered Florida location to another Florida county -

Effective date:
mm dd yyyy

Current sales and use tax certificate number for location

(this number will be cancelled)

Sales and use tax for this location will be reported using my current
(select all that apply)

consolidated return county control reporting number

All Applicants -
Reason for Applying





Starting a new taxable activity at a registered location - Effective date: _____ mm dd yyyy
Current sales and use tax certificate number for location _____

Change the form of business ownership - Effective date: _____ mm dd yyyy

Acquired existing business - Effective date: _____ mm dd yyyy

3. Business Name, Location, and Mailing Address: **Others** - Use name filed with the Florida Department of State or similar agency in another state
Sole proprietors - Use last name, first name, middle initial
Partnerships - Use partnership name or last name of general partners
Legal name of business: _____

Business trade name "doing business as" if you have one: _____

Physical Address: Provide the street address of the business location or Florida rental property - Do not use PO Box or Rural Route Numbers.

Street address: _____	Florida County: _____	Telephone #: <input type="checkbox"/> Check if # is outside U.S. #: _____ ext: _____
City / State / ZIP: _____		Fax #: _____

Mailing Address: Provide the name and mailing address where tax returns and other correspondence for your business are to be mailed.

Mail to: _____	Mailing Address (if different than business location address): _____
City / State / ZIP: _____	

4. Is this business location only open during a portion of a calendar year? Yes No

If yes, provide the:
First calendar month this business location is open: _____ ; and the
Last calendar month this business location is open: _____ .

5. Form of Business Ownership: (select only one form of ownership)

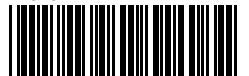
- Sole Proprietor (individual owner)
- Partnership (select one below):
 - Married couple
 - General partnership
 - Limited liability partnership (LLP)
 - Limited partnership (LP)
 - Joint venture
- Corporation (select one below):
 - C Corporation
 - S Corporation
 - Not-for-profit
 - Foreign corporation
- Limited liability company (LLC) (select one below):
 - Single member
 - Multi-member

If single member, select the box that applies to how your LLC is treated for federal income tax.

 - C Corporation
 - S Corporation
 - Disregarded (reported by single member)

If multi-member, select the box that applies to how your LLC is treated for federal income tax.

 - Partnership
 - C Corporation
 - S Corporation
- Estate
- Trust
- Business
- Other
- Governmental agency





Sole
Proprietors

6. If your business is a partnership, corporation, limited liability company, or trust, provide the following information:

Date of Florida incorporation or organization,
or date of authorization to conduct business at this location in Florida: mm dd yyyy

Fiscal year ending date (This date is generally "12/31"; however
a business may elect a different fiscal year): mm dd

7. If you are a sole proprietor, provide the following information:

Legal Name (first name, middle initial, last name):	SSN: or Visa #:
Home address:	Telephone #: <input type="checkbox"/> Check if # is outside U.S.
City / State / ZIP:	#: _____ ext: _____

8. If your business is a partnership (including married couples), provide the following information for each general partner:
(Attach additional pages, if needed.)

Business Owners and Managers

Name:	Title:
Home address:	SSN: or Visa #: or FEIN:
City / State / ZIP:	Telephone #: <input type="checkbox"/> Check if # is outside U.S. #: _____ ext: _____
Name:	Title:
Home address:	SSN: or Visa #: or FEIN:
City / State / ZIP:	Telephone #: <input type="checkbox"/> Check if # is outside U.S. #: _____ ext: _____
Name:	Title:
Home address:	SSN: or Visa #: or FEIN:
City / State / ZIP:	Telephone #: <input type="checkbox"/> Check if # is outside U.S. #: _____ ext: _____
Name:	Title:
Home address:	SSN: or Visa #: or FEIN:
City / State / ZIP:	Telephone #: <input type="checkbox"/> Check if # is outside U.S. #: _____ ext: _____





Business Owners and Managers

9. If your business is a corporation, limited liability company, or trust, provide the following information for each director, officer, managing member, grantor, personal representative, or trustee of the business entity:
(Attach additional pages, if needed.)

Name:	Title:
Home address:	Last 4 Digits of Social Security Number: or Visa #: or FEIN:
City / State / ZIP:	Telephone #: <input type="checkbox"/> Check if # is outside U.S. #: _____ ext: _____
Name:	Title:
Home address:	Last 4 Digits of Social Security Number: or Visa #: or FEIN:
City / State / ZIP:	Telephone #: <input type="checkbox"/> Check if # is outside U.S. #: _____ ext: _____
Name:	Title:
Home address:	Last 4 Digits of Social Security Number: or Visa #: or FEIN:
City / State / ZIP:	Telephone #: <input type="checkbox"/> Check if # is outside U.S. #: _____ ext: _____
Name:	Title:
Home address:	Last 4 Digits of Social Security Number: or Visa #: or FEIN:
City / State / ZIP:	Telephone #: <input type="checkbox"/> Check if # is outside U.S. #: _____ ext: _____

All Applicants -
Background
Business Activities

10. Background:

Has your business ever been known by another name? <input type="checkbox"/> Yes <input type="checkbox"/> No	Name:
Was that business issued a Florida certificate of registration or tax account number? <input type="checkbox"/> Yes <input type="checkbox"/> No	Number:

11. Business Activities:

Primary code

Enter the six-digit North American Industry Classification System (NAICS) code(s) that best describes your business activities at this location. Enter your primary code first. (Enter at least **one**.)

If you do not know your NAICS code(s), go to census.gov/naics. Enter a keyword to search the most recent NAICS list.





Describe the primary nature of your business and type(s) of products or services to be sold.

12. Change in Form of Business Ownership or Acquired Business

If your form of business ownership has changed (e.g., sole proprietorship to a corporation or partnership to a limited liability company), or you acquired an existing business, **provide the following for your prior form of ownership or for the acquired business:**

Name:	FEIN:
Address:	Florida certificate or tax account number:
City / State / ZIP:	If acquired, portion acquired: <input type="checkbox"/> All <input type="checkbox"/> Part <input type="checkbox"/> Unknown
Did your business share any common ownership, management, or control with the acquired business at the time of acquisition? <input type="checkbox"/> Yes <input type="checkbox"/> No	Did the previous legal entity or acquired business have employees at the time of the change or acquisition? <input type="checkbox"/> Yes <input type="checkbox"/> No
Were employees transferred to the new legal entity or new business? <input type="checkbox"/> Yes <input type="checkbox"/> No	Date transferred: mm dd yyyy

You must also submit a completed *Report to Determine Succession and Application for Transfer of Experience Rating Records* (Form RTS-1S) within 90 days after the date of transfer when:

- You acquired an existing business in whole or in part, and
- There was no common ownership, management or control between your business and the acquired business at the time of transfer.

Sales and Use Tax

13. For each of the business activities below, select all that apply to this location:

Sales, Rentals, or Repairs of Products

- Sell products at retail (to consumers)
- Sell products at wholesale (to registered dealers who will sell to consumers)
- Sell products or goods from nonpermanent locations (such as flea markets or craft shows)
- Sell products or goods by mail using catalogs or the internet
- Sell, serve, or prepare food products or drinks for immediate consumption on your premises, or that you package or wrap for take-out or to go, from a temporary or permanent location
- Repair or alter consumer products or equipment
- Rent equipment or other property or goods to individuals or businesses
- Charge admissions or membership fees

Property Rentals, Leases, or Licenses

- Rent or lease commercial real property to individuals or businesses
- Manage commercial real property for individuals or businesses
- Rent or lease living or sleeping accommodations to others for periods of six months or less
- Manage the rental or leasing of living or sleeping accommodations belonging to others
- Rent or lease parking or storage spaces for motor vehicles in parking lots or garages
- Rent or lease docking or storage spaces for boats in boat docks or marinas
- Rent or lease tie-down or storage spaces for aircraft at airports





Sales and Use Tax (continued)

Sales and Use Tax

Real Property Contractors

- Improve real property as a contractor
- Sell products at retail (to consumers)
- Construct, assemble, or fabricate building components at your plant or shop away from a project site that are used in your real property improvement projects
- Purchase products or supplies from vendors located outside Florida for use in Florida real property improvement projects

Services

- Pest control services for nonresidential buildings
- Interior cleaning services for nonresidential buildings
- Detective services
- Protection services
- Security alarm system monitoring services

Fuel

- Sell tax paid gasoline, diesel fuel, or aviation fuel to retail dealers or end users in Florida (select all that apply below):
 - Gas station only
 - Gas station and convenience store
 - Truck stop
 - Marine fueling
 - Aircraft fueling
 - Reseller of fuel in bulk quantities
- Purchase dyed diesel fuel for off-road purposes

Secondhand Goods or Scrap Metal

- Purchase, consign, trade, or sell secondhand goods
- Purchase, gather, obtain, or sell salvage or scrap metal to be recycled or convert ferrous or nonferrous metals into raw material products

If you select either of these activities, you must also submit a *Registration Application for Secondhand Dealers and Secondary Metals Recyclers (Form DR-1S)*.

Coin-Operated Amusement Machines

- Place and operate coin-operated amusement machines at locations belonging to others
- Operate coin-operated amusement machines at this location (select all that apply below):
 - Self-operate some or all the amusement machines at this location (no other machine operator used)
 - Have entered into a written agreement with the following person or business to operate some or all the machines at this location.

Name:	Telephone #: <input type="checkbox"/> Check if # is outside U.S. #: _____ ext: _____
-------	---

Mailing address:

City / State / ZIP:

If you operate amusement machines at your location or at locations belonging to others, you must also submit an *Application for Amusement Machine Certificate (Form DR-18)* to obtain an annual *Amusement Machine Certificate* for each location where you operate amusement machines.

Vending Machines

(select all that apply below)

- Place and operate vending machines at locations belonging to others:

(Select the type or types of vending machines you operate.)

 - Food or beverage vending machines
 - Nonfood or nonbeverage vending machines
- Operate vending machines at this location:

(Select the type or types of vending machines you operate.)

 - Food or beverage vending machines
 - Nonfood or nonbeverage vending machines

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Sales and Use Tax (continued)

Sales and Use Tax

Purchases

- Purchase items to use in my business without paying Florida sales tax to the seller at the time of purchase (such as from a seller located outside Florida)
- Applying for a direct pay permit to self-accrue and remit use tax directly to the Department
To apply for a permit, submit an *Application for Self-Accrual Authority/Direct Pay Permit Sales and Use Tax (Form DR-16A)*.
- Applying for authority to remit sales tax to the Department for independent sellers or distributors (see Rule 12A-1.0911, Florida Administrative Code, for more information)
- This business does not conduct activities at this location subject to Florida sales and use tax**

Prepaid Wireless Fee

Prepaid Wireless Fee

14. Do you sell prepaid phones, phone cards, or calling arrangements at this location? Yes No

If yes, select the box that describes your sales:

- Domestic or international long distance calling or phone cards (non-wireless)
- Prepaid wireless services (cards, plans, devices) that provide access to wireless networks and interaction with 911 emergency services

Solid Waste - New Tire Fee, Lead-Acid Battery Fee, and Rental Car Surcharge

Solid Waste Fees and Surcharge

- 15. Do you sell (at retail) new tires for motorized vehicles at this location that are sold separately or as part of a vehicle? Yes No
- 16. Do you sell (at retail) new or remanufactured lead-acid batteries at this location that are sold separately or as a component part of another product such as new automobiles, golf carts, or boats? Yes No
- 17. Do you operate a car-sharing service, a peer-to-peer car sharing program, or motor vehicle rental company at this location that provides motor vehicles that transport fewer than nine passengers? Yes No

Gross Receipts Tax on Dry-cleaning

Dry-Cleaning Tax

18. Do you own or operate a dry-cleaning plant or dry drop-off facility in Florida? Yes No

If yes, and you import or produce perchloroethylene or other dry-cleaning solvents, you must also complete a *Registration Package (GT-400401) for fuels and pollutants.*

Reemployment Tax

Reemployment Tax

For purposes of reemployment tax, employees include officers of a corporation and members of a limited liability company classified as a corporation for federal tax purposes who perform services for the corporation or limited liability company and receive payment for such services (salary or distributions).

In addition to registering for Reemployment Tax:

- New Florida employers must register with the Florida New Hire Reporting Center to report newly hired and re-hired employees in Florida at servicesforemployers.floridarevenue.com.
- Florida employers are required to obtain appropriate workers' compensation insurance coverage for their employees. Visit www.myfloridacfo.com/division/wc/.

19. Do you have or will you have, employees in Florida? Yes No

20. Do you, or will you, lease workers from an employee leasing company to work in Florida? Yes No

If yes, provide the following:

Name of leasing company:

FEIN:

Department of Business and Professional Regulation license number:

Portion of workforce that is leased:

- All
- Part

Date of leasing agreement for workers in Florida:

mm dd yyyy

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Reemployment Tax (continued)

Reemployment Tax

21. Do you use the services of persons in Florida whom you consider to be self-employed, independent contractors other than those engaged in a distinct business, occupation, or profession that serves the general public (e.g., plumber, general contractor, or certified public accountant)? Yes No

If yes, you must also submit a completed *Independent Contractor Analysis (Form RTS-6061)*.

If you answered No to questions 19, 20, and 21, proceed to the Communications Services Tax section.
If you answered Yes, continue to the next question.

22. Is your business registered for reemployment tax? Yes No
If yes, provide your RT account number:

Are you currently reporting wages to the Florida Department of Revenue? Yes No

Are you reactivating your reemployment tax account? Yes No

23. On what date did you, or will you, first have an employee in Florida?
mm dd yyyy

24. Employment Type (select only one employment type):

- Regular employer
- Nonprofit organization [must hold a 501(c)(3) determination letter from the Internal Revenue Service]
- Domestic employer [employer of persons performing only domestic (household) services (e.g., maid or cook)]
- Indian tribe or Tribal unit
- Governmental entity
- Agricultural (noncitrus) employer
- Agricultural (citrus) employer
- Agricultural crew chief

25. Select one category for your employment:

Regular, Indian tribe or Tribal unit, or Governmental employer

Have you or will you pay gross wages of at least \$1,500 within a calendar quarter? Yes No

If yes, provide the date you reached or will reach \$1,500 gross wages.
mm dd yyyy

Have you or will you have one or more employees for a day (or portion of a day) during 20 or more weeks in a calendar year? Yes No

If yes, provide the last day of the 20th week.
mm dd yyyy

Nonprofit organization

Have you or will you employ four or more workers for a day (or portion of a day) during 20 or more weeks in a calendar year? Yes No

If yes, provide the last day of the 20th week.
mm dd yyyy

Domestic employer (Employer whose employees only perform domestic services.)

Have you or will you pay gross wages of at least \$1,000 within a calendar quarter? Yes No

If yes, provide the date you reached or will reach \$1,000 gross wages.
mm dd yyyy





Reemployment Tax (continued)

Agricultural (noncitrus, citrus, or crew chief) employer

Have you or will you pay gross wages of at least \$10,000 within a calendar quarter?

Yes No

If yes, provide the date you reached or will reach \$10,000 gross wages.

mm dd yyyy

Have you or will you have five or more employees for a day (or portion of a day) during 20 or more weeks in a calendar year?

Yes No

If yes, provide the last day of the 20th week.

mm dd yyyy

26. List all Florida locations where you have employees.

(Attach a separate sheet, if needed.)

Address:

City / State / ZIP:

Number of employees:

Principal products or services:

If services, indicate if:

Administrative Research Other

Address:

City / State / ZIP:

Number of employees:

Principal products or services:

If services, indicate if:

Administrative Research Other

Address:

City / State / ZIP:

Number of employees:

Principal products or services:

If services, indicate if:

Administrative Research Other

Address:

City / State / ZIP:

Number of employees:

Principal products or services:

If services, indicate if:

Administrative Research Other

27. Payroll Agent Information. If you will use a payroll agent (such as an accountant or bookkeeper) or firm that will maintain your payroll information, provide the following:

Name of payroll agent or firm:

Mailing address:

City / State / ZIP:

Reemployment Tax





Reemployment Tax (continued)

Reemployment Tax

28. **Mailing Addresses for Reemployment Tax.** To receive correspondence about reemployment tax reporting, tax rates, and benefits paid, select the appropriate mailing address for each type of correspondence below.

Reporting Forms and Information
Employer's Quarterly Reports, Certifications,
Reporting-related Correspondence:

- Business Information** (address in the first section of this application)
- Payroll Agent Information** (address in Question 27)
- Other** (enter below)

Tax Rate Information
Tax Rate Notices
Related Correspondence:

- Business Information** (address in the first section of this application)
- Payroll Agent Information** (address in Question 27)
- Other** (enter below)

Benefits Paid Information
Notice of Benefits Paid
Related Correspondence:

- Business Information** (address in the first section of this application)
- Payroll Agent Information** (address in Question 27)
- Other** (enter below)

Other Address for Reporting Forms and Information

Name:	Telephone #:	Ext:
Mailing address:		
City / State / ZIP:	Email address:	

Other Address for Tax Rate Information

Name:	Telephone #:	Ext:
Mailing address:		
City / State / ZIP:	Email address:	

Other Address for Benefits Paid Information

Name:	Telephone #:	Ext:
Mailing address:		
City / State / ZIP:	Email address:	

Communications Services Tax

Communications Services Tax

29. Do you sell communications services; purchase communications services to integrate into prepaid calling arrangements; or are you applying for a direct pay permit for communications services tax? Yes No

If yes, select each service you sell.

- Telephone service (e.g., local, long distance, wireless, or VOIP)
- Paging service
- Facsimile (fax) service (not when providing advertising or professional services)
- Reseller (only sales for resale; no sales to retail customers)
- Other services; please describe: _____
- Video service (e.g., television programming or streaming)
- Direct-to-home satellite service
- Pay telephone service
- Purchase services to integrate into prepaid calling arrangements

30. Are you applying for a direct pay permit for communications services tax? Yes No

If yes, you must also submit an **Application for Self-Accrual Authority/Direct Pay Permit (Form DR-700030)**.





Communications Services Tax (continued)

If you answered No to questions 29 and 30, proceed to the Documentary Stamp Tax section.
If you answered Yes, continue.

If you are a reseller only, sell only pay telephone or direct-to-home satellite services, or only purchase services to integrate into prepaid calling arrangements, go to question 34.

Communications Services Tax

31. To charge the correct amount of tax, you must know the taxing jurisdiction (county and municipality) in which your customers are located. How will you verify the assignment of customer location to the correct taxing jurisdictions? If you use multiple methods, select all that apply.

- An electronic database provided by the Department of Revenue
- Your own database that will be certified by the Department of Revenue
To apply for certification, you must submit an *Application for Certification of Communications Services Database (Form DR-700012)*.

A database supplied by a vendor. Provide the name of the vendor and product:

Vendor: _____ Product: _____

- ZIP + 4 and a methodology for assignment when the ZIP codes overlap jurisdictions
- ZIP + 4 that does not overlap jurisdictions (e.g., a hotel located in one jurisdiction)
- None of the above.

The method you use to verify the assignment of a customer location to the correct taxing jurisdictions (county and municipality) for purposes of collecting local communications services tax determines the collection allowance rate that will be assigned to your business. If you change your method of assigning a customer's location to the correct taxing jurisdictions, you must submit a *Notification of Method Employed to Determine Taxing Jurisdiction (Form DR-700020)* indicating the new method(s). For more information, visit floridarevenue.com/taxes/cst.

32. If you use multiple assignment methods, you may need to file two separate returns to maximize your collection allowances. If you will file separate returns for each assignment method, check the box below.

I will file two separate communications services tax returns, one for each type of assignment method.

33. Name and contact information of the person who can answer questions about communications services tax returns filed with the Department:

Name:	Telephone #:	Ext:
_____	_____	_____

Email address: _____

Documentary Stamp Tax

Documentary Stamp Tax

34. Do you enter into written obligations to pay money with customers at this location that are not recorded with the Clerk of the Court or County Comptroller (e.g., financing agreements, title loans, pay-day loans, liens, promissory notes, or similar documents)?

Yes No

If yes, do you anticipate executing five or more written obligations to pay money subject to documentary stamp tax per month?

Yes No

Gross Receipts Tax on Electrical Power and Gas

Gross Receipts Tax

35. Do you own or operate an electric or natural or manufactured gas (LP gas is excluded) utility distribution facility in Florida?

Yes No

If yes, select the type of utility facility:

Electric Natural or manufactured gas

36. Do you import natural or manufactured gas (LP gas is excluded) into Florida for your own use?

Yes No





Severance Taxes and Miami-Dade County Lake Belt Fees

Severance Taxes

37. Do you extract oil, gas, sulfur, solid minerals, phosphate rock, lime rock, sand, or heavy minerals from the soils or waters of Florida? Yes No
- If yes, select each extraction activity that you will engage in:
- Extracting oil for sale, transport, storage, profit, or commercial use
 - Extracting gas for sale, transport, profit, or commercial use
 - Extracting sulfur for sale, transport, storage, profit, or commercial use
 - Extracting solid minerals, phosphate rock, or heavy minerals from the soil or water for commercial use
 - Extracting lime rock or sand from within the Miami-Dade County Lake Belt Area (see section 373.4149, Florida Statutes, for boundary description)

Enrollment to File and Pay Tax Electronically

Filing and paying electronically is quick, easy, and secure at floridarevenue.com/taxes/eservices. You can electronically file and pay most taxes, fees and surcharges.

Marketplace providers and persons making a substantial number of remote sales (total of taxable remote sales in the previous calendar year exceeds \$100,000) must file and remit tax electronically.

You may choose to enroll to file or pay tax electronically. Enrolling allows you to view your payment history, reprint your payment information, and view bills posted to your account. Your bank account and contact information are saved for future transactions.

If you enroll using this application, you will receive a user ID and password for each tax account created based on the information you provide. Each account will have the same contact, banking, and payment method. After you receive your user ID and password, you may log into each tax account and change the contact, banking, and method of payment information.

If you choose not to file returns or pay tax electronically, proceed to the Authorization for Email Communication section.

File and Pay Electronically

38. Do you wish to: (select only one)
- Enroll for **both** filing returns and paying tax electronically?
 - Enroll **only** to pay tax electronically?
 - File returns and pay tax electronically **without** enrolling?
39. If you are enrolling, select only one electronic payment method.
- ACH-Debit (e-check)** – The Department’s bank withdraws a payment from your bank account when you authorize the payment.
 - ACH-Credit** – Your bank transfers a payment to the Department’s bank account when you authorize the bank to make the payment. **This is not a credit card payment. You are responsible for any costs charged by your bank to use this payment method.**

40. Contact Person for Electronic Payments:

Name:	Telephone #:	Ext:	Fax #:

Mailing address:

City / State / ZIP:	Email address:
<input type="checkbox"/> A company employee <input type="checkbox"/> A non-related tax preparer <input type="checkbox"/> Payroll agent	Federal Preparer Tax Identification Number (PTIN):





Enrollment to File and Pay Tax Electronically (continued)

41. Contact Person for Electronic Return Filing (If different than contact person for electronic payments.)

Name:	Telephone #:	Ext:	Fax #:
_____	_____	_____	_____

Mailing address:

City / State / ZIP:	Email address:
_____	_____

<input type="checkbox"/> A company employee <input type="checkbox"/> A non-related tax preparer <input type="checkbox"/> Payroll agent	Federal Preparer Tax Identification Number (PTIN):
_____	_____

42. Banking Information (not required for ACH-Credit payment method):

Bank / financial institution name:	Account type: <input type="checkbox"/> Business <input type="checkbox"/> Checking <input type="checkbox"/> Personal <input type="checkbox"/> Savings
Bank account number:	Bank Routing Number: : :
_____	_____

Note: Due to federal security requirements, we cannot process international ACH transactions. If any funding for payments comes from financial institutions located outside the US or its territories, please contact us to make other payment arrangements. If you are unsure, please contact your financial institution.

43. Enrollee Authorization and Agreement:

This is an Agreement between the Florida Department of Revenue, hereinafter "the Department," and the business entity named herein, hereinafter "the Enrollee," entered into according to the provisions of the Florida Statutes and the Florida Administrative Code.

By completing this agreement and submitting this enrollment request, the Enrollee applies and is hereby authorized by the Department to file tax returns and reports, make tax and fee payments, and transmit remittances to the Department electronically. This agreement represents the entire understanding of the parties in relation to the electronic filing of returns, reports, and remittances.

The same statute and rule sections that pertain to all paper documents filed or payments made by the Enrollee also govern an electronic return, or payment initiated electronically according to this agreement.

I certify that I am authorized to sign on behalf of the business entity identified herein, and that all information provided in this section has been personally reviewed by me and the facts stated in it are true. According to the payment method selected above, I hereby authorize the Department to present debit entries into the bank account referenced above at the depository designated herein (ACH-Debit), or I am authorized to register for the ACH-Credit payment privilege and accept all responsibility for the filing of payments through the ACH-Credit method.

Printed name: _____

Signature: _____ Title: _____ Date: _____

Printed name: _____

Signature: _____ Title: _____ Date: _____

(If account requires two signatures)

File and Pay Electronically





Authorization for Email Communication

Email Communication

Your privacy is important to the Department of Revenue. The Department will mail information regarding this application to you. If you wish to receive the information in an email, a written request from you is required. This request allows the Department to send information using its secure email software. This software requires additional steps before you can access the information.

Complete this section to receive information about this application by secure email.

I authorize the Department to send information regarding this Application using the Florida Department of Revenue's secure email. I understand that this method requires additional steps to view the information provided.

Provide the name and contact information of the person who can respond to questions about this Application.

Name:	Telephone #: <input type="checkbox"/> Check if # is outside U.S.
	#: _____ ext: _____

Email address: _____

Applicant Declaration and Signature

Applicant Declaration and Signature

I understand that any person who is required to collect, truthfully account for, and pay any tax, fee, or surcharge, and willfully fails to do so, or any officer or director of a corporation who directs any employee of the corporation to do so, is personally liable for the tax, fee, or surcharge evaded, not accounted for, or paid to the Florida Department of Revenue, plus a penalty equal to twice the amount of the tax, fee, or surcharge due that is evaded, not accounted for, or paid. (Section 213.29, Florida Statutes.)

I understand that, in addition to any other civil penalties provided by law, it is a criminal offense to fail or refuse to collect a required tax, fee, or surcharge; to fail to timely file a tax, fee, or surcharge return; to underreport a tax, fee, or surcharge liability on a return; or to give a worthless check, draft, debit card order, or other order on a bank to transfer funds to the Florida Department of Revenue.

I understand that I must notify the Florida Department of Revenue of any change in the form of ownership of this business or a change in business activities, location, mailing address, or contact information for this business.

I certify that I am authorized by _____ (Officer/Director) to execute this application. I understand that I will be creating a tax account that may result in the responsibility to file returns and to pay a tax, surtax, fee, or surcharge to the Florida Department of Revenue.

Under penalties of perjury, I declare that I have read the foregoing Application and that the facts stated in it are true.

Printed name: _____ Title: _____

Signature: _____ Date: _____

Before you submit your completed application

<p>Have you:</p> <ul style="list-style-type: none"> • Provided your business identification numbers? • Completed all sections of this application? • Signed and dated this application? • Included all additional applications, if required? 	<p>Mail to: Account Management MS 1-5730 Florida Department of Revenue 5050 W Tennessee St Tallahassee FL 32399-0160</p>
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**Florida Department of Revenue
POWER OF ATTORNEY
and Declaration of Representative**

DR-835

R. 10/11
TC

Rule 12-6.0015
Florida Administrative Code
Effective 01/12

See Instructions for additional information

PART I - POWER OF ATTORNEY

Section 1. Taxpayer Information. Taxpayer(s) must sign and date this form on Page 2, Part I, Section 8.

Taxpayer name(s) and address(es)	Federal ID no(s). (SSN*, FEIN, etc.)	Florida Tax Registration Number(s) (Business Part. No., Sales Tax No., R.T. Acct No., etc.)
	Contact person	Telephone number ()
		Fax number ()

The Taxpayer(s) hereby appoint(s) the following representative(s) as attorney(s)-in-fact:

Section 2. Representative(s). Each representative must be listed individually, and must sign and date this form on Page 2, Part II.

Name and address (include name of firm if applicable)	Telephone number ()
	Fax number ()
	E-mail address: Cell phone number ()
Name and address (include name of firm if applicable)	Telephone number ()
	Fax number ()
	E-mail address: Cell phone number ()
Name and address (include name of firm if applicable)	Telephone number ()
	Fax number ()
	E-mail address: Cell phone number ()

To represent the taxpayer(s) before the Florida Department of Revenue in the following tax matters:

Section 3. Tax Matters. Do not complete this section if completing Section 4.

Type of Tax (Corporate, Sales, Reemployment, formerly Unemployment, etc.)	Year(s) / Period(s)	Tax Matter(s) (Tax Audits, Protests, Refunds, etc.)

Section 4. To Appoint a Reemployment Tax (formerly Unemployment Tax) Agent Only. Do not complete Sections 3 and 6 if completing Section 4.

By completing this section, an employer (taxpayer) appoints a representative to act as its Florida reemployment tax agent before the Florida Department of Revenue on a continuing basis and to receive confidential information with respect to mailings, filings, and other tax matters related to the Florida reemployment assistance program law. All other sections of this form (except Sections 3 and 6) must also be completed.

Do not complete Section 4 unless you wish to appoint a reemployment tax agent on a continuing basis.

Agent name	Agent number (required)
Firm name	Federal I.D. No. (required)
Address (if different from above)	Telephone number ()

Mail Type: See Instructions for explanations. Check one box only. 1 (Primary) 2 (Reporting) 3 (Rate) 4 (Claim)

Section 5. Acts Authorized.

The representative(s) are authorized to receive and inspect confidential tax information and to perform any and all acts that I (we) can perform with respect to the tax matters described in Section 3 and Section 4 (for example, the authority to sign any agreements, consents, or other documents). Except as otherwise provided, the authority specifically includes the power to execute waivers of restrictions on assessment or collection of deficiencies in tax, to execute consents extending the statutory period for assessment or claims for refund of taxes, and to execute closing agreements under section 213.21, Florida Statutes. This authority does not include the power to endorse or cash warrants, or the power to sign certain returns.

If you want to authorize a representative named in Section 2 to receive (but not to endorse or cash) refund warrants, write the name of the representative on this line and check the box

List any specific limitations or deletions to the acts otherwise authorized in this Power of Attorney.

03502





Florida Tax Registration Number:

Taxpayer Name(s):

Federal Identification Number:

- Taxpayer(s) must complete Page 1 of this Power of Attorney or it will not be processed.

Section 6. Notices and Communication. Do not complete Section 6 if completing Section 4.

- Notices and other written communications will be sent to the first representative listed in Part I, Section 2, unless the taxpayer selects one of the options below. Receipt by either the representative or the taxpayer will be considered receipt by both.
 - If you want notices and communications sent to both you and your representative, check this box ▶
 - If you want notices or communications sent to you and not your representative, check this box ▶

Certain computer-generated notices and other written communications cannot be issued in duplicate due to current system constraints. Therefore, we will send these communications to only the taxpayer at his or her tax registration address.

Section 7. Retention / Nonrevocation of Prior Power(s) of Attorney.

The filing of this Power of Attorney will not revoke earlier Power(s) of Attorney on file with the Florida Department of Revenue, even for the same tax matters and years or periods covered by this document. If you want to revoke a prior Power of Attorney, check this box ▶

You must attach a copy of any Power of Attorney you wish to revoke.

Section 8. Signature of Taxpayer(s).

If a tax matter concerns a joint return, both husband and wife must sign if joint representation is requested. If signed by a corporate officer, partner, member/managing member, guardian, tax matters partner/person, executor, receiver, administrator, trustee, or fiduciary on behalf of the taxpayer, I declare under penalties of perjury that I have the authority to execute this form on behalf of the taxpayer.

Under penalties of perjury, I (we) declare that I (we) have read the foregoing document, and the facts stated in it are true.

If this Power of Attorney is not signed and dated, it will be returned.

_____	_____	_____
Signature	Date	Title (if applicable)

Print name		
_____	_____	_____
Signature	Date	Title (if applicable)

Print name		

PART II - DECLARATION OF REPRESENTATIVE

Under penalties of perjury, I declare that:

- I am familiar with the mandatory standards of conduct governing representation before the Department of Revenue, including Rules 12-6.006 and 28-106.107 of the Florida Administrative Code, as amended.
- I am familiar with the law and facts related to this matter and am qualified to represent the taxpayer(s) in this matter.
- I am authorized to represent the taxpayer(s) identified in Part I for the tax matter(s) specified therein, and to receive and inspect confidential taxpayer information.
- I am one of the following:
 - Attorney - a member in good standing of the bar of the highest court of the jurisdiction shown below.
 - Certified Public Accountant - duly qualified to practice as a certified public accountant in the jurisdiction shown below.
 - Enrolled Agent - enrolled as an agent pursuant to the requirements of Treasury Department Circular Number 230.
 - Former Department of Revenue Employee. As a representative, I cannot accept representation in a matter upon which I had direct involvement while I was a public employee.
 - Reemployment Tax Agent authorized in Section 4 of this form.
 - Other Qualified Representative
- **I have read the foregoing Declaration of Representative and the facts stated in it are true.**

If this Declaration of Representative is not signed and dated, it will not be processed.

Designation - Insert Letter from Above (a -f)	Jurisdiction (State) and Enrollment Card No. (if any)	Signature	Date





Participant Direction Option (PDO) Consent Form

I, _____, choose to participate in the Participant Direction Option (PDO). I know that I will be responsible for the following:

Please write your initials on each line below to show that you have read and understand each item.

- _____ 1. I have the PDO Participant Guidelines. The guidelines tell me how the PDO works and my responsibilities. I will read the guidelines. I am responsible for following the guidelines.
- _____ 2. I will get in touch with my case manager if I need help.
- _____ 3. I will tell my case manager if I wish to choose a representative.
- _____ 4. I agree that I am responsible for interviewing, hiring, training, supervising, and firing (if needed), my direct service worker(s).
- _____ 5. I will hire a qualified direct service worker(s). The qualifications for direct service workers are in the PDO Participant Guidelines. I should hire a direct service worker(s) who is trained in universal precautions and HIPAA privacy standards.
- _____ 6. I will create a list of job duties and a work schedule for my direct service worker(s). The list of job duties and work schedule must be written on the Participant/Direct Service Worker Agreement.
- _____ 7. I will make sure that my direct service worker(s) does not work more hours than approved on the Participant/Direct Service Worker Agreement.
- _____ 8. I know that I can get more training if I need it. I will contact my case manager if I want more training.
- _____ 9. I know that my direct service worker's timesheets must be correct.
- _____ 10. I will give my direct service worker's timesheets to my Plan. The timesheets must be sent in by the date on the payroll schedule.
- _____ 11. I will tell my case manager if I decide to fire my direct service worker(s).
- _____ 12. I will create an Emergency Back-up Plan so I will know what to do if my direct service worker(s) does not show up to provide my services.



- _____ 13. I will tell my case manager if I'm having problems with my direct service worker(s).
- _____ 14. I know that I can stop participating in the PDO at any time. I will tell my case manager if I wish to stop participating in the PDO. My case manager will make sure that my services will continue to be provided to me. If I stop participating in the PDO my services will be provided to me by a provider in my Plan's network.
- _____ 15. I will follow the requirements on this Consent Form, my Participant/Direct Service Worker Agreement(s), my Participant Agreement, and the PDO Participant Guidelines. If I do not follow the requirements, my Plan may stop my participation in the PDO. If my Plan stops my participation in the PDO, my case manager will make sure that my services will continue to be provided to me by a provider in my Plan's network.

I have read and understand this PDO Consent Form. I know that my participation in the PDO is voluntary.

Participant Printed Name	Signature	Date
Representative Printed Name (if applicable)	Signature	Date

I have explained all the required information for this participant to make an informed decision about participating in the PDO.

Case Manager Printed Name	Signature	Date
---------------------------	-----------	------

Participant Name	Representative or Legal Guardian (if applicable)

I understand that:

1. Consumer Direct will assist the Participant/Representative with the development of a backup plan that is used if a regularly scheduled direct service worker (DSW) cannot provide services.
2. It is the Participant/Representative's responsibility to use, change, update, or decide whether the backup plan is effective.
3. It is the Participant/Representative's responsibility to immediately report a gap in service to Consumer Direct.
4. In the case of an emergency call 911.

Plan of Action

A. Backup Workers

Please list below who you will call if your current DSW(s) fails to report for his or her shift (may include friends, family, past DSWs, church members, other volunteers):

Name	Address (City and Zip)	Days/Time Not Available	Phone

B. Other Backup:

Beyond calling the individuals listed above or emergency personnel to see if they can provide assistance, I will contact the following for services:

Other MCO Providers

Name	Address	City	Zip	Phone

C. I will talk with backup workers before an emergency arises about employment, pay, their availability and my care needs. I know that my backup worker(s) must be eligible for work and trained in order to be paid.

D. I understand that Consumer Direct maintains a Job Board that I can reference when recruiting backup workers.





PARTICIPANT EMERGENCY AND BACKUP PLAN

E. *I know that PDO does not provide emergency services. Therefore, in case of emergency, I will:*

Activate my Lifeline

Contact 911

F. If I believe I am at risk of harm for abuse, neglect or exploitation, I know that I should contact the Adult Protective Services or Child Abuse hotline at **1-800-962-2873**.

G. If an emergency has occurred, I will contact:

Relative

Name	Address	City	Zip	Phone

Case Manager

Name	Address	City	Zip	Phone

Physician

Name	Address	City	Zip	Phone

Other

Name	Address	City	Zip	Phone

*This form is submitted to the case manager and reviewed quarterly.

Participant or Representative Signature

Date

Consumer Direct Rep. Signature

Date

PDO Specialist/Case Manager Signature

Date





Participant Direction Option (PDO) Representative Agreement

I, _____, agree to be the representative for _____, who is participating in the Participant Direction Option (PDO). I know that I will be responsible for the following:

Please write your initials on each line below to show that you have read and understand each item.

- _____ 1. I have the PDO Participant Guidelines. The guidelines tell me how the PDO works and my responsibilities. I will read the guidelines. I am responsible for following the guidelines.
- _____ 2. I will get in touch with the participant's case manager if I need help.
- _____ 3. I will involve the participant as much as they wish to be involved with any decisions made.
- _____ 4. I agree that I am responsible for interviewing, hiring, training, supervising, and firing (if needed), the participant's direct service worker(s).
- _____ 5. I agree that I will hire a qualified direct service worker(s). The qualifications for direct service workers are in the PDO Participant Guidelines. I should hire a direct service worker(s) who is trained in universal precautions and HIPAA privacy standards.
- _____ 6. I will create a list of job duties and a work schedule for the participant's direct service worker(s). The list of job duties and work schedule must be written on the Participant/Direct Service Worker Agreement.
- _____ 7. I will make sure that the participant's direct service worker(s) does not work more hours than approved on the Participant/Direct Service Worker Agreement.
- _____ 8. I know that I can get more training if I need it. I will contact the participant's case manager if I want more training.
- _____ 9. I know that the direct service worker's timesheets must be correct.
- _____ 10. I will give the direct service worker's timesheets to the participant's Plan. The timesheets must be sent in by the date on the payroll schedule.
- _____ 11. I will tell the participant's case manager if I decide to fire a direct service worker(s).
- _____ 12. I know that I will not be paid to be the representative for the participant.
- _____ 13. I know that I cannot be a direct service worker for the participant.



- _____14. I will create an Emergency Back-up Plan so I will know what to do if the participant's direct service worker(s) does not show up to provide services.
- _____15. I know that I have the option to stop being the representative at any time. I will tell the participant and the participant's case manager if I wish to stop being the representative. The case manager will help the participant choose another representative.
- _____16. I will follow the requirements on this Representative Agreement, the PDO Consent Form, the Participant/Direct Service Worker Agreement, the Participant Agreement, and the PDO Participant Guidelines. If I do not follow the requirements, the participant's Plan may not allow me to continue to be the representative. If the Plan does not allow me to be the representative, the participant's case manager will help the participant choose another representative.

Please sign on the line below to show that you have read and understand each item in this agreement. If you have questions, please ask the participant's case manager to help you.

Representative's Printed Name	Signature	Date
Participant's Printed Name	Signature	Date
Case Manager's Printed Name	Signature	Date





REPRESENTATIVE INFORMATION NEEDED FOR FINGERPRINTING

Instructions: Complete each and every field below with your demographic information. Please print clearly. This information is required to register you for a fingerprint background check.

- * Last Name _____
- * First Name _____
- * Middle Name _____
- * Date of birth _____
- * State/Country of birth _____
- * City of birth _____
- * Social security number _____
- * Sex _____
- * Race _____
- * Eye color _____
- * Hair color _____
- * Height (feet/inches) _____
- * Weight _____
- * Country of citizenship _____
- * Address - Street _____
- * Address - City, State, Zip Code _____
- * Phone number _____
- * Email address _____

To be completed by Consumer Direct

CD Representative Name: _____

Participant Name: _____

Health Care Plan: _____

Date of Enrollment Meeting: _____





ATTESTATION OF COMPLIANCE with Background Screening Requirements

Authority: This form shall be used by **all employees** to comply with:

- the attestation requirements of **section 435.05(2), Florida Statutes**, which state that every employee required to undergo Level 2 background screening must attest, subject to penalty of perjury, to meeting the requirements for qualifying for employment pursuant to this chapter and agreeing to inform the employer immediately if arrested for any of the disqualifying offenses while employed by the employer; **AND**
- the proof of screening within the previous 5 years in **section 408.809(2), Florida Statutes**, which requires proof of compliance with level 2 screening standards that have been screened through the Care Provider Background Screening Clearinghouse created under Section 435.12, F.S., or screened within the previous 5 years by the Agency, Department of Health, Department of Elder Affairs, the Agency for Persons with Disabilities, Department of Children and Families, or the Department of Financial Services for an applicant for a certificate of authority to operate a continuing care retirement community under Chapter 651, F.S., and in accordance with the standards in Section 408.809(2), F.S., if that agency is not currently implemented in the Care Provider Background Screening Clearinghouse.

This form must be maintained in the employee's personnel file. If this form is used as proof of screening for an administrator or chief financial officer to satisfy the requirements of an **application for a health care provider license**, please attach a copy of the screening results and submit with the licensure application.

Employee/Contractor Name:

Health Care Provider/ Employer Name:

Address of Health Care Provider:

You must attest to meeting the requirements for employment and you may not have been arrested for and awaiting final disposition of, have been found guilty of, regardless of adjudication, or have entered a plea of nolo contendere (no contest) or guilty to, or have been adjudicated delinquent and the record has not been sealed or expunged for, any offense prohibited under *any* of the following provisions of state law or similar law of another jurisdiction:

Criminal offenses found in section 435.04, F.S.

- (a) Section 393.135, relating to sexual misconduct with certain developmentally disabled clients and reporting of such sexual misconduct.
- (b) Section 394.4593, relating to sexual misconduct with certain mental health patients and reporting of such sexual misconduct.
- (c) Section 415.111, relating to adult abuse, neglect, or exploitation of aged persons or disabled adults.
- (d) Section 777.04, relating to attempts, solicitation, and conspiracy to commit an offense listed in this subsection.
- (e) Section 782.04, relating to murder.

- (g) Section 782.071, relating to vehicular homicide
- (h) Section 782.09, relating to killing of an unborn child by injury to the mother.
- (i) Chapter 784, relating to assault, battery, and culpable negligence, if the offense was a felony.
- (j) Section 784.011, relating to assault, if the victim of the offense was a minor.
- (k) Section 784.03, relating to battery, if the victim of the offense was a minor.
- (l) Section 787.01, relating to kidnapping.



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(m) Section 787.02, relating to false imprisonment.

(n) Section 787.025, relating to luring or enticing a child.

(o) Section 787.04(2), relating to taking, enticing, or removing a child beyond the state limits with criminal intent pending custody proceedings.

(p) Section 787.04(3), relating to carrying a child beyond the state lines with criminal intent to avoid producing a child at a custody hearing or delivering the child to the designated person.

(q) Section 790.115(1), relating to exhibiting firearms or weapons within 1,000 feet of a school.

(r) Section 790.115(2)(b), relating to possessing an electric weapon or device, destructive device, or other weapon on school property.

(s) Section 794.011, relating to sexual battery.

(t) Former s. 794.041, relating to prohibited acts of persons in familial or custodial authority.

(u) Section 794.05, relating to unlawful sexual activity with certain minors.

(v) Chapter 796, relating to prostitution.

(w) Section 798.02, relating to lewd and lascivious behavior.

(x) Chapter 800, relating to lewdness and indecent exposure.

(y) Section 806.01, relating to arson.

(z) Section 810.02, relating to burglary.

(aa) Section 810.14, relating to voyeurism, if the offense is a felony.

(bb) Section 810.145, relating to video voyeurism, if the offense is a felony.

(cc) Chapter 812, relating to theft, robbery, and related crimes, if the offense is a felony.

(dd) Section 817.563, relating to fraudulent sale of controlled substances, only if the offense was a felony.

(ee) Section 825.102, relating to abuse, aggravated abuse, or neglect of an elderly person or disabled adult.

(ff) Section 825.1025, relating to lewd or lascivious offenses committed upon or in the presence of an elderly person or disabled adult.

(gg) Section 825.103, relating to exploitation of an elderly person or disabled adult, if the offense was a felony.

(hh) Section 826.04, relating to incest.

(ii) Section 827.03, relating to child abuse, aggravated child abuse, or neglect of a child

(jj) Section 827.04, relating to contributing to the delinquency or dependency of a child.

(kk) Former s. 827.05, relating to negligent treatment of children.

(ll) Section 827.071, relating to sexual performance by a child.

(mm) Section 843.01, relating to resisting arrest with violence.

(nn) Section 843.025, relating to depriving a law enforcement, correctional, or correctional probation officer means of protection or communication.

(oo) Section 843.12, relating to aiding in an escape.

(pp) Section 843.13, relating to aiding in the escape of juvenile inmates in correctional institutions.

(qq) Chapter 847, relating to obscene literature.

(rr) Section 874.05(1), relating to encouraging or recruiting another to join a criminal gang.

(ss) Chapter 893, relating to drug abuse prevention and control, only if the offense was a felony or if any other person involved in the offense was a minor.

(tt) Section 916.1075, relating to sexual misconduct with certain forensic clients and reporting of such sexual misconduct.

(uu) Section 944.35(3), relating to inflicting cruel or inhuman treatment on an inmate resulting in great bodily harm.

(vv) Section 944.40, relating to escape.

(ww) Section 944.46, relating to harboring, concealing, or aiding an escaped prisoner.

(xx) Section 944.47, relating to introduction of contraband into a correctional facility.

(yy) Section 985.701, relating to sexual misconduct in juvenile justice programs.

(zz) Section 985.711, relating to contraband introduced into detention facilities.

(3) The security background investigations under this section must ensure that no person subject to this section has been found guilty of, regardless of adjudication, or entered a plea of nolo contendere or guilty to, any offense that constitutes domestic violence as defined in s. 741.28, whether such act was committed in this state or in another jurisdiction.

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Criminal offenses found in section 408.809(4), F.S.

- (a) Any authorizing statutes, if the offense was a felony.
- (b) This chapter, if the offense was a felony.
- (c) Section 409.920, relating to Medicaid provider fraud.
- (d) Section 409.9201, relating to Medicaid fraud.
- (e) Section 741.28, relating to domestic violence.
- (f) Section 777.04, relating to attempts, solicitation, and conspiracy to commit an offense listed in this subsection.
- (g) Section 817.034, relating to fraudulent acts through mail, wire, radio, electromagnetic, photoelectronic, or photooptical systems.
- (h) Section 817.234, relating to false and fraudulent insurance claims.
- (i) Section 817.481, relating to obtaining goods by using a false or expired credit card or other credit device, if the offense was a felony.
- (j) Section 817.50, relating to fraudulently obtaining goods or services from a health care provider.
- (k) Section 817.505, relating to patient brokering.
- (l) Section 817.568, relating to criminal use of personal identification information.

- (m) Section 817.60, relating to obtaining a credit card through fraudulent means.
- (n) Section 817.61, relating to fraudulent use of credit cards, if the offense was a felony.
- (o) Section 831.01, relating to forgery.
- (p) Section 831.02, relating to uttering forged instruments.
- (q) Section 831.07, relating to forging bank bills, checks, drafts, or promissory notes.
- (r) Section 831.09, relating to uttering forged bank bills, checks, drafts, or promissory notes.
- (s) Section 831.30, relating to fraud in obtaining medicinal drugs.
- (t) Section 831.31, relating to the sale, manufacture, delivery, or possession with the intent to sell, manufacture, or deliver any counterfeit controlled substance, if the offense was a felony.
- (u) Section 895.03, relating to racketeering and collection of unlawful debts.
- (v) Section 896.101, relating to the Florida Money Laundering Act.

I have been granted an Exemption from Disqualification through the Agency for Healthcare Administration (AHCA).

Date of Decision: _____

I have been granted an Exemption from Disqualification through the Florida Department of Health.

Date of Decision: _____

****A copy of the Exemption from Disqualification decision letter must be attached****

If you are also using this form to provide evidence of prior Level 2 screening (fingerprinting) in the last 5 years and have not been unemployed for more than 90 days, please provide the following information. **A copy of the prior screening results must be attached.**

Purpose of Prior Screening: _____

Screening conducted by: _____ Date of Prior Screening: _____

- Agency for Healthcare Administration
- Department of Health
- Agency for Persons with Disabilities

- Department of Elder Affairs
- Department of Financial Services
- Department of Children and Families

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Attestation

Under penalty of perjury, I, _____, hereby swear or affirm that I meet the requirements for qualifying for employment in regards to the background screening standards set forth in Chapter 435 and section 408.809, F.S. In addition, I agree to immediately inform my employer if arrested or convicted of any of the disqualifying offenses while employed by any health care provider licensed pursuant to Chapter 408, Part II F.S.

Employee/Contractor Signature

Title

Date

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RICK SCOTT
GOVERNOR

ELIZABETH DUDEK
SECRETARY

PRIVACY POLICY ACKNOWLEDGEMENT FORM

I acknowledge that I have received a copy of the privacy policies from the Florida Department of Law Enforcement and the Federal Bureau of Investigation, which describe the exchange of information where criminal record results will become part of the Care Provider Background Screening Clearinghouse.

I understand and agree that I will read and comply with the guidelines contained in the privacy policies.

Employee Name (Printed)

Employee Signature

Date

2727 Mahan Drive, MS#40
Tallahassee, Florida 32308



Visit AHCA online at
AHCA.MyFlorida.com

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FLORIDA DEPARTMENT OF LAW ENFORCEMENT

NOTICE FOR APPLICANTS SUBMITTING FINGERPRINTS WHERE CRIMINAL RECORD RESULTS WILL BECOME PART OF THE CARE PROVIDER BACKGROUND SCREENING CLEARINGHOUSE

NOTICE OF:

- **SHARING OF CRIMINAL HISTORY RECORD INFORMATION WITH SPECIFIED AGENCIES,**
- **RETENTION OF FINGERPRINTS,**
- **PRIVACY POLICY, AND**
- **RIGHT TO CHALLENGE AN INCORRECT CRIMINAL HISTORY RECORD**

This notice is to inform you that when you submit a set of fingerprints to the Florida Department of Law Enforcement (FDLE) for the purpose of conducting a search for any Florida and national criminal history records that may pertain to you, the results of that search will be returned to the Care Provider Background Screening Clearinghouse. By submitting fingerprints, you are authorizing the dissemination of any state and national criminal history record that may pertain to you to the Specified Agency or Agencies from which you are seeking approval to be employed, licensed, work under contract, or to serve as a volunteer, pursuant to the National Child Protection Act of 1993, as amended, and Section 943.0542, Florida Statutes. "Specified agency" means the Department of Health, the Department of Children and Family Services, the Division of Vocational Rehabilitation within the Department of Education, the Agency for Health Care Administration, the Department of Elder Affairs, the Department of Juvenile Justice, and the Agency for Persons with Disabilities when these agencies are conducting state and national criminal history background screening on persons who provide care for children or persons who are elderly or disabled. The fingerprints submitted will be retained by FDLE and the Clearinghouse will be notified if FDLE receives Florida arrest information on you.

Your Social Security Number (SSN) is needed to keep records accurate because other people may have the same name and birth date. Disclosure of your SSN is imperative for the performance of the Clearinghouse agencies' duties in distinguishing your identity from that of other persons whose identification information may be the same as or similar to yours.

Licensing and employing agencies are allowed to release a copy of the state and national criminal record information to a person who requests a copy of his or her own record if the identification of the record was based on submission of the person's fingerprints. Therefore, if you wish to review your record, you may request that the agency that is screening the record provide you with a copy. After you have reviewed the criminal history record, if you believe it is incomplete or inaccurate, you may conduct a personal review as provided in s. 943.056, F.S., and Rule 11C8.001, F.A.C. If national information is believed to be in error, the FBI should be contacted at 304-625-2000. You can receive any national criminal history record that may pertain to you directly from the FBI, pursuant to 28 CFR Sections 16.30-16.34. You have the right to obtain a prompt determination as to the validity of your challenge before a final decision is made about your status as an employee, volunteer, contractor, or subcontractor.

Until the criminal history background check is completed, you may be denied unsupervised access to children, the elderly, or persons with disabilities.

The FBI's Privacy Statement follows on a separate page and contains additional information.



PRIVACY STATEMENT

Authority: The FBI's acquisition, preservation, and exchange of information requested by this form is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include numerous Federal statutes, hundreds of State statutes pursuant to Pub.L. 92-544, Presidential executive orders, regulations and/or orders of the Attorney General of the United States, or other authorized authorities. Examples include, but are not limited to: 5 U.S.C. 9101; Pub.L. 94-29; Pub.L. 101-604; and Executive Orders 10450 and 12968. Providing the requested information is voluntary; however, failure to furnish the information may affect timely completion or approval of your application.

Social Security Account Number (SSAN). Your SSAN is needed to keep records accurate because other people may have the same name and birth date. Pursuant to the Federal Privacy Act of 1974 (5 USC 552a), the requesting agency is responsible for informing you whether disclosure is mandatory or voluntary, by what statutory or other authority your SSAN is solicited, and what uses will be made of it. Executive Order 9397 also asks Federal agencies to use this number to help identify individuals in agency records.

Principal Purpose: Certain determinations, such as employment, security, licensing, and adoption, may be predicated on fingerprint based checks. Your fingerprints and other information contained on (and along with) this form may be submitted to the requesting agency, the agency conducting the application investigation, and/or FBI for the purpose of comparing the submitted information to available records in order to identify other information that may be pertinent to the application. During the processing of this application, and for as long hereafter as may be relevant to the activity for which this application is being submitted, the FBI may disclose any potentially pertinent information to the requesting agency and/or to the agency conducting the investigation. The FBI may also retain the submitted information in the FBI's permanent collection of fingerprints and related information, where it will be subject to comparisons against other submissions received by the FBI. Depending on the nature of your application, the requesting agency and/or the agency conducting the application investigation may also retain the fingerprints and other submitted information for other authorized purposes of such agency(ies).

Routine Uses: The fingerprints and information reported on this form may be disclosed pursuant to your consent, and may also be disclosed by the FBI without your consent as permitted by the Federal Privacy Act of 1974 (5 USC 552a(b)) and all applicable routine uses as may be published at any time in the Federal Register, including the routine uses for the FBI Fingerprint Identification Records System (Justice/FBI009) and the FBI's Blanket Routine Uses (Justice/FBI-BRU). Routine uses include, but are not limited to, disclosures to: appropriate governmental authorities responsible for civil or criminal law enforcement, counterintelligence, national security or public safety matters to which the information may be relevant; to State and local governmental agencies and nongovernmental entities for application processing as authorized by Federal and State legislation, executive order, or regulation, including employment, security, licensing, and adoption checks; and as otherwise authorized by law, treaty, executive order, regulation, or other lawful authority. If other agencies are involved in processing this application, they may have additional routine uses.

Additional Information: The requesting agency and/or the agency conducting the application investigation will provide you additional information pertinent to the specific circumstances of this application, which may include identification of other authorities, purposes, uses, and consequences of not providing requested information. In addition, any such agency in the Federal Executive Branch has also published notice in the Federal Register describing any system(s) of records in which that agency may also maintain your records, including the authorities, purposes, and routine uses for the system(s).